

Attorney Docket # 502902-225PUS

By Express Mail #EV857193821US

Patent

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re National Phase PCT Application of  
Bert BRAUNE et al.  
Int'l PCT Appln. No.: PCT/DE2004/002136  
Int'l Filing Date: September 24, 2004  
For: Green-Emitting LED

Check box if applicable:

☐ DUPLICATE

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

S I R:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed Form PTO/SB/08a. Copies of the listed documents are enclosed.

The references submitted herewith have been on page 1 of the instant specification.

It is respectfully requested that the above information be considered by the Examiner and that the copy of the enclosed Form PTO/SB/08a be returned indicating that such information has been considered.

In accordance with 37 C.F.R §§1.97(g) and (h), the filing of this Information Disclosure Statement should not be construed as a representation that a search has been made or that information cited is, or is considered to be, material to patentability as defined in §1.56(b), or that any cited document listed or attached is (or constitutes) prior art. Unless otherwise

indicated, the date of publication indicated for an item is taken from the face of the item and Applicant(s) reserve(s) the right to prove that the date of publication is in fact different.

If any fees or charges are deemed required at this time in connection with the application, the same may be charged to our Patent and Trademark Office Deposit Account No. 03-2412.

Respectfully submitted,  
COHEN, PONTANI, LIEBERMAN & PAVANE

By: Thomas Langer  
Thomas Langer  
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Dated: March 20, 2006

IAPO Rec'd PCT/PTO 2.0 MAR 2005

Substitute for Form 1449/PTO		Complete if Known	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Use as many sheets as necessary)		Application Number	
		Filing Date	
		First Named Inventor	Herbert BRUNNER
		Art Unit	
		Examiner Name	
Sheet 1 of 2	Attorney Docket Number	502902-225PUS	

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind-Code <sup>2</sup> (if known)			
		US-			
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind-Code <sup>5</sup> (if known)				
		DE 100 24 924 A1	11/29/2001	Faschinger		Abstract
		DE 198 06 536 A1	08/20/1989	Yoshinaga et al.		Abstract
		EP 1 150 361 A1	04/23/2001	Mueller-Mach et al.		
		EP 0 584 599 A1	08/04/1993	Neumann et al.		
		WO 01/89001 A2	11/22/2001	Srivastava et al.		

Examiner Signature		Date Considered	
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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PTO/SB/08A (04-03)

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IAP9 Rec'd PCT/PTO 20 MAR 2006

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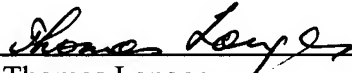
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Submitted herewith is a copy of the International Search Report issued in connection with International Application on which the present U.S. National Phase application is based.

Copies of the references cited in the International Search Report have been supplied by WIPO to the USPTO. Therefore, copies of the cited references in the International Search Report are not being provided herewith in accordance with current U.S. practice because they should be on file already at the USPTO.

It is respectfully requested that the Examiner return an initialed copy of the attached Form PTO SB/08A to confirm that the publications supplied by WIPO and listed therein have been considered and made of record.

Respectfully submitted,  
COHEN, PONTANI, LIEBERMAN & PAVANE

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Dated: March 20, 2006

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## U.S. PATENT DOCUMENTS

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		US-2002/043926 A1	04/18/2002	Takahashi et al.	
		US-6,255,670 B1	07/03/2001	Srivastava et al.	
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		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind-Code <sup>5</sup> (if known)				
		EP 1 411 558 A	04/21/2004	Lumileds Lighting U.S. LLC.		
		EP 1 413 618 A	04/28/2004	Osram Opto Semiconductors GmbH		

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1490 Rec'd PTO 2.0 MAR 2006

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	Filing Date	
	First Named Inventor	Herbert BRUNNER
	Art Unit	
Examiner Name		
Sheet 2 of 2	Attorney Docket Number	502902-225PUS

<b>NON PATENT LITERATURE DOCUMENTS</b>			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		Krevel, et al., "Luminescence Properties of Terbium-, Cerium, or Europium-Doped Alpha-Sialon Materials", Journal of Solid State Chemistry, Orlando, FL, Vol. 165, No. 1, pp. 19-24, April 2002	
		Xie et al., "Preparation and Luminescence Spectra of Calcium-and Rare-Earth (R=Eu, TB, and PR)-Codoped Alpha-Sion Ceramics", Journal of the American Ceramic Society, American Ceramic Society, Columbus, US, Vol. 85, No. 5, pp. 1229-1234, October 2000	

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